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PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney 320143.00102	
		First Inventor Peter CROOKS et al.	
		Title Analgesic Uses of Norketamine and Ketamine/Norketamine Prodrugs	
Express Mail			

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>	Mail Stop Patent Application ADDRESS TO:
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 67] <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none">- Descriptive title of the invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R & D- Reference to sequence listing, a table, or a computer program listing appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <input type="text"/></p> <p>5. <input type="checkbox"/> Oath or Declaration [Total Pages <input]<="" p="" type="text"/><p style="margin-left: 20px;">a. <input type="checkbox"/> Unexecuted Declaration</p><p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small></p><p style="margin-left: 40px;">i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p><p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p></p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on:</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statements verifying identity of above copies</p>
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ACCOMPANYING APPLICATIONS PARTS	
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>
11. <input type="checkbox"/> English Translation Document (if applicable)	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small>
17. <input type="checkbox"/> Other: <input style="width: 150px;" type="text"/>	

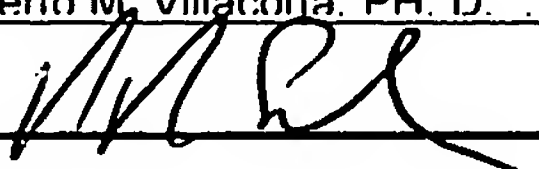
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

10 CORRESPONDENCE ADDRESS					
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Name (Print/Type)	Robert R. Seabold, PH.D. Gilberto M. Villacorta, PH. D.	Registration No. (Attorney/Agent)	41,298/ 34,038
Signature			Date November 18, 2003

22389 U.S. PTO
10/714643



16528 U.S. PTO
111803

PTO/SB/17 (10-02)

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FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known		
		Application Number	To Be Assigned	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Filing Date	11/18/03	
		First Named Inventor	Peter A. CROOKS, et al.	
		Examiner Name	To Be Assigned	
TOTAL AMOUNT OF PAYMENT (\$)		921.00	Attorney Docket No.	320143.00102
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)		
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None		3. ADDITIONAL FEES		
<input checked="" type="checkbox"/> Deposit Account		Large Entity Small Entity		
Deposit Account Number		Fee Code Fee (\$)		
50-1710		Fee Code Fee (\$)		
Deposit Account Name		Fee Description		
KATTEN MUCHIN ZAVIS ROSENMAN		Fee Paid		
The Commissioner is hereby authorized to: (check all that apply)				
<input checked="" type="checkbox"/> Charge fee(s) indicated below				
<input checked="" type="checkbox"/> Charge any deficiency or Credit any overpayments				
<input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application				
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				
FEE CALCULATION				
1. BASIC FILING FEE				
Large Entity Small Entity				
Fee Code Fee (\$)		Fee Code Fee (\$)		
Fee Description		Fee Paid		
1001 740 2001 370 Utility filing fee		385.00		
1002 330 2002 165 Design filing fee				
1003 510 2003 255 Plant filing fee				
1004 740 2004 370 Reissue filing fee				
1005 160 2005 80 Provisional filing fee				
SUBTOTAL (1) (\$)		385.00		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE				
Total Claims 70 -20* = 50		Extra Claims Fee from below Fee Paid		
Independent Claims 5 -3 = 2		9 450		
Multiple Dependent		280		
Large Entity Small Entity				
Fee Code Fee (\$)		Fee Code Fee (\$)		
Fee Description		Fee Paid		
1202 18 2202 9 Claims in excess of 20				
1201 86 2201 43 Independent claims in excess of 3				
1203 290 2203 145 Multiple dependent claim, if not paid				
1204 86 2204 43 ** Reissue independent claims over original patent				
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent				
SUBTOTAL (2) (\$)		536.00		
**or number previously paid, if greater; For Reissues, see above				
SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)		Registration No. (Attorney/Agent)		
Robert R. Seabold, PH.D.		41,298		
for: Gilberto M. Villacorta, PH.D.		Telephone		
Registration No. 34,038		202.625.3621		
Signature		Date		
		November 18, 2003		